

SECTION 305 NGENC Executive Board

MINUTES

OCTOBER 13, 2015

11:30 EASTERN

CONFERENCE CALL

FACILITATOR	<i>Eric Curtit, Chair, S305 NGENC Executive Board</i>
ATTENDEES	Board Members: <i>Eric Curtit, Mario Bergeron, Beth Nachreiner for Michael Lestingi, Darrell Smith, Ray Hessinger, John Oimoen, Tim Hoeffner, John Rosacker, Ron Pate, Bruce Roberts, Michael Jenkins, Brian Beeler II</i> Support Staff: <i>Bryan Hong, Steve Hewitt, Shayne Gill, Jeff Gordon, Sal DeAngelo, Jason Biggs, Jeremy Jewkes, Larry Salci, Tammy Krause, Vincent Brotski, Dave "Emeritus" Warner</i>
ABSENTEES	Board Members, <i>Michael Lestingi, Tammy Nicholson, Paul Worley, Arun Rao,</i> Support: <i>Allan Paul, Momo Tamaoki, Nico Lindenau, Dale Engelhardt, Patrick Centolanzi</i>

DECISIONS MADE

1.

Welcome –Eric Curtit, Chair, S305 NGENC Executive Board:

Chairman Curtit, opened today's meeting and asked Steve Hewitt to call the roll.

2.

Roll Call –Steve Hewitt, Manager, S305 NGENC Support Services:

Steve Hewitt called the roll and confirmed the presence of a quorum.

3.

Review of Action Items – Steve Hewitt:

Steve Hewitt confirmed that all open action items were to be covered in today's agenda, and a review was not needed (although the status update is provided below) at this time.

Action Items Status Update

Mid-West States – Section 6 progress report: The Mid-West Interstate Passenger Rail Commission has discussed establishing a compact and to use it as a vehicle for establishing ownership, governance, maintenance, and operation of the equipment.

Agenda item 5

514 Subcommittee update: Two items were highlighted on the last call:

Finalizing the CIP document: It is expected to go out from the subcommittee to the Executive Board (NGEC) for its review in the next week or so. The expectation is to have the Executive Board consider its approval in October, 2015.

This morning (10-13-15) Steve Hewitt distributed the CIP memo and DRAFT along with other related documents. They will be introduced during the 514 update (agenda item 10) and the intent is to take the CIP up for consideration of its acceptance by the NGENC Board on 10-27-15.

209/305 coordination: Now that 209 "has its feet under it" with the establishment of the State Amtrak Intercity Passenger Rail Committee, (SAIPRC) 514 and the SAIPRC are working to determine their roles in the process.

Agenda item 10

The Finance and Administrative subcommittee continues to look at potential funding options and strategies for the future of the NGENC: (ongoing) The subcommittee continues its progress and expects to have a recommendation package ready for NGENC Executive Board discussion on the 10-27-15 call.

Agenda item 8

Dual Mode Locomotive (DC 3rd Rail) specification Approval: The subcommittee approved the specification Draft 2 – It will be reviewed first by Larry Salci against the Requirements document (as revised and approved by the Board on 9-1-15). Larry will prepare the Review Panel Report and recommendations; the Review Panel will meet to discuss and consider the report and it will then be submitted for adoption by the Executive Board. Timeline for completion of this process is mid-November 2015 – prior to Thanksgiving.

Agenda item 9

Technical subcommittee approval of 305-912 Revision B: The subcommittee approved Revision B on 9-24-15. It will now need to go through the required process for Board approval. An update of the status and the process will be provided on today's call.

Agenda item 9

4. Approval of the Minutes from the 9-29-15 conference call meeting – Eric Curtit:

On a motion by Darrell Smith, Amtrak, and a second by Ray Hessinger, NYSDOT, the minutes from the 9-29-15 Executive Board conference call were approved without exception.

5. Progress Report: Mid-West States –Tim Hoeffner, Michigan DOT:

Tim Hoeffner, Michigan DOT, reported that the Mid-West State DOTs will meet next week (10-21-15) with their legal representatives in attendance as well, to go over agreements for the distribution of equipment (cars and locomotives) throughout the Mid-West. At the meeting they will begin to lay out the terms and conditions that will ultimately lead to a fleet maintainer and fleet manager. Tim noted that "if this sounds like governance of a Mid-West managed fleet – it is a good summary for it."

John Oimoen, IDOT, added that the RFP for a fleet manager is not yet finalized as there have been personnel changes in the Executive Office, and they are now working through the document. There is currently no schedule for implementation or release of the RFP.

6. Status Update: Bi-Level Car Procurement – Bruce Roberts, Caltrans:

Bruce Roberts, Caltrans, provided a brief update on the activities related to the bi-level car procurement:

The failed compression test has been a major setback. The manufacturer has noted that because of the need to rebuild car shell number 4, there will be almost a year delay for the next car shell compression test.

The procurement team is assessing the impacts and ramifications of the delay on the funding and on the overall delivery schedule.

Experts are "digging into the root cause" of the failure and the impacts.

Asked if the delay in the schedule will impact the funding expiration. Bruce responded "Yes, we are impacted by the funding expiration date of 9-30-17."

7. Status Update: Diesel-Electric Locomotive Procurement – John Oimoen, IDOT:

John Oimoen provided an update on the Diesel-Electric Locomotive Procurement:

Overall, it is going very well

- Final Design Review (FDR) action items are now closed.
- The FAI for the diesel engine was held on 9-22-15 and went well. The FAI for the Prime Mover (Engine and alternator together) is planned for October in Sacramento.
- Manufacturing for the first locomotive carbody continues. The integral fuel tank and the underframe has been completed and welding of the truck frames continues. The truck frame FAI is planned for 10-8-15.
- The follow-up weld process review was held on 9-15 and 16-2015.
- The carbody FAI is scheduled for 10-20-15
- One more draft DCR has been processed by the JPEs. Now we have fifteen draft (DCRs) being prepared and Rich Stegner has contacted Tammy Krause, to send a list re-capping the DCRs and will begin drafting DCRs.

- Follow up Technical Advisory Groups (TAG) continue working on an on-going basis for the action items from the AAR Standard vehicle qualification testing and acceptance. AAR's David Cackovic is the facilitator.
- The Diesel Exhaust Fluid (DEF) planning team is reviewing logistics for fueling locations.
- The locomotive weight is 272,000 lbs (+/-) and the calculated P2 force is still within specified limits. Weight is being closely monitored, and is holding well.

8.

Status Update: Finance and Administrative subcommittee – Darrell Smith:

Darrell Smith, Amtrak, reported that the Finance and Administrative subcommittee is in the process of putting together its recommendations for funding the NGEC in the future, and expects to submit them to the Executive Board by the end of October as agreed.

The recommendations will include a "white paper" that will identify funding options for the NGEC and will recap the view of "what the NGEC is going to be when it grows up."

The subcommittee has explored three potential funding options, and is in the process of quantifying some of it. The next call of the subcommittee will take place on 10-21-15 and the intent is to wrap up the recommendations and prepare the submittal to the Board. By November, the Board will have the "white paper" to ponder, and a new level of discussion items with regard to funding the NGEC beyond the current grant agreement extension period which runs through 9-30-17.

9.

Document Control Status Update – Tammy Krause, RCC:

Tammy Krause, Amtrak, provided the following updates with regard to several document control activities:

- a. Next Steps/Timeline for NGEC Executive Board approval of PRIIA Specification 305-912 Revision B:

"The technical subcommittee approved specification 305-912 rev B at its meeting of September 24th. I am asking Steve Hewitt to send it to all the members of the Executive Board today. I will ask the Executive Board to schedule a vote for approval of the specification at the next board meeting. This specification does not have a requirements document so no review report and review board are required."

- b. Status of the Dual Mode (DC 3rd Rail) Locomotive Specification Review:

"The dual mode locomotive specification is currently undergoing a review by Larry Salci. After he finishes his review report, The Review Panel will convene a meeting to determine whether to recommend approval or rejection of the specification. The Review Panel will provide this recommendation to the Executive Board and they can then call for a vote. This is still on track for the final acceptance vote to occur before Thanksgiving."

10.

Status Update: 514 subcommittee – Ron Pate:

Ron Pate provided an update on the activities of the 514 subcommittee.

This morning (10-13-15) the Executive Board members received the DRAFT 2016 CIP document as approved by the 514 subcommittee. Along with the DRAFT CIP is a transmittal memo and other related documents.

Note: Since its distribution, a correction/change has been made and a revised version will be sent out later today or tomorrow (10-13 or 10-14). All other documents are complete as submitted.

Ron noted that the document is "a good piece of work" and that "comments received during the process were worked through".

The intent is for NGEC Board members to review the CIP over the next two weeks with the understanding that it will be considered for acceptance by the Board on its next call – 10-27-15. The motion to accept is included in the transmittal memo.

Jeremy Jewkes provided a brief overview of some of the highlights of the CIP. It is a "thicker, but more useable document than the last one." Jeremy also noted that it has been agreed that "policy is outside of the scope" of the 514 subcommittee, and that policy issues will be handled within the State Amtrak Intercity Passenger Rail Committee (SAIPRC).

11.

Update: GAO/NGEC Study – Steve Hewitt:

Steve Hewitt, NGEC Support Services Manager, provided a brief update on the progress of the GAO study of the NGEC specification and procurement activities:

As a follow up to the GAO team participating on the Technical subcommittee call held on 9-24-15, Steve has provided them with contact information on all of the Technical subcommittee Working Group team leaders including the Revision Control Coordinator, Tammy Krause. The GAO team will be reaching out to the Working Group leaders to get their input and perspective on the activities of the NGEC pertaining to specification development, and document control throughout the procurement process. The team also planned to reach out to Larry Salci, with regard to his role as consultant to the NGEC Review Panels.

Larry reported that he has been contacted by Derry Henrick, GAO, and an interview is scheduled for 10-29-15. He will copy Steve Hewitt on the email so that he can be kept in the loop.

Steve Hewitt is serving as the point of contact for the NGEC with the GAO team as they progress the study, and has agreed to make himself available for follow up discussions and/or to provide any additional documents or information that they may request.

The GAO has been invited to attend future Technical subcommittee calls to listen and, when they have something to report on, to provide status updates on the progress of their study. A representative from the GAO team will participate on every other subcommittee call. The next call on which they will participate is 10-22-15.

12.

Other issues:

With no further issues forthcoming, Chairman Curtit adjourned today's meeting at approximately 12:01 PM Eastern.

The next call is October 27, 2015

Decisions/Action Items

Mid-West States – Section 6 progress report: The Mid-West State DOTs will meet next week (10-21-15) with their legal representatives in attendance as well, to go over agreements for the distribution of equipment (cars and locomotives) throughout the Mid-West. At the meeting they will begin to lay out the terms and conditions that will ultimately lead to a fleet maintainer and fleet manager.

IDOT reported that the RFP for a fleet manager continues to be reviewed by the Executive Office which has undergone some personnel changes.

514 Subcommittee update: Two items were highlighted:

Accepting the CIP document: The Board received the DRAFT CIP today (10-13-15) and is expected to consider its acceptance on the 10-27-15 conference call.

209/305 coordination: Policy issues will be handled through the SAIPRC.

The Finance and Administrative subcommittee continues to look at potential funding options and strategies for the future of the NGEC: (ongoing) The subcommittee continues its progress and expects to meet its deadline of having a recommendation package ready for NGEC Executive Board by the end of October.

Dual Mode Locomotive (DC 3rd Rail) specification Approval: RCC, Tammy Krause reported today that : *"The dual mode locomotive specification is currently undergoing a review by Larry Salci. After he finishes his review report, The Review Panel will convene a meeting to determine whether to recommend approval or rejection of the specification. The Review Panel will provide this recommendation to the Executive Board and they can then call for a vote. This is still on track for the final acceptance vote to occur before Thanksgiving."*

Technical subcommittee approval of 305-912 Revision B: The subcommittee approved Revision B on 9-24-15. Tammy Krause reported today that Revision B will be sent to Steve Hewitt for distribution to the Executive Board later today, and it is anticipated that the Board will consider its approval on the 10-27-15 Executive Board

conference call.

ATTACHMENTS



**S305 NGEC Executive Board Conference Call
October 13, 2015
11:30 AM – 12:30 PM Eastern
Call in number: 888 585-9008 conference access: 559-120-127**

Agenda

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| 1. Welcome and Open the Meeting | Eric Curtit |
| 2. Roll Call | Steve Hewitt |
| 3. Action Items Status Review | Steve Hewitt |
| 4. Approval of Minutes from the September 29, 2015 Call | Eric Curtit |
| 5. Progress Report: Mid-West States – Section 6 | Tim Hoeffner |
| 6. Status Update: Bi-Level Car Procurement | Bruce Roberts |
| 7. Status Update: Diesel-Electric Locomotive Procurement | John Oimoen |
| 8. Status Update: Finance and Administrative Subcommittee Activities | Darrell Smith |
| 9. Document Control Status Update: | Tammy Krause |
| a. Next steps/timeline for Board approval of PRIIA Specification 305-912 Revision B. | |
| b. Status of the Dual Mode (DC 3 rd Rail) Locomotive Specification Review | |
| 10. Status Update: 514 subcommittee | Ron Pate |
| 11. Update: GAO/NGEC study | Steve Hewitt |
| 12. Other | All |

Next Call: October 27, 2015