
SECTION 305 NGENC Executive Board

MINUTES

JULY 18, 2017

11:30 EASTERN

CONFERENCE CALL

FACILITATOR	<i>Eric Curtit, Chair, S305 NGENC Executive Board</i>
ATTENDEES	Board Members: <i>Eric Curtit, Mario Bergeron, Nathan Vomocil for Michael Lestingi, Mario Bergeron, Darrell Smith, Eric Curtit as proxy for Ray Hessinger, Amanda Martin, Arun Rao, Arun Rao also as proxy for John Oimoen, Brent Thompson for Ron Pate, Michael Jenkins, Brian Beeler II</i> Support Staff: <i>Steve Hewitt, Shayne Gill, Larry Salci, Strat Cavros</i>
ABSENTEES	Board Members: <i>Michael Lestingi, Ray Hessinger, Allan Paul, Tim Hoeffner, John Oimoen, Ron Pate, John Rosacker, Momo Tamaoki</i> Support: <i>Jason Biggs, Jon Dees, Bryan Hong, Jeff Gordon, Beth Nachreiner, Sal DeAngelo, George Hull, Tammy Krause, Patrick Centolanzi,</i>

DECISIONS MADE

1. Welcome –Eric Curtit, Chair, S305 NGENC Executive Board:

NGEC Chairman Eric Curtit, convened today's meeting.

Roll Call –Steve Hewitt, Manager, S305 NGENC Support Services:

Steve Hewitt called the roll and confirmed the presence of a quorum

3. Review of Action Items – Steve Hewitt:

Most actions items are covered under today's agenda, but for the record, a status update of all open action items, as of the start of this meeting, is included below:

Mid-West States – Section 6 progress report:

The initial draft Section 6 plan by the states had been submitted to FRA – and the final DRAFT is expected to be submitted in the next day or two. The plan is needed before the locomotives can be entered in to revenue service.

The lease agreement is in final DRAFT form and is with all parties. John anticipates a clean version to be provided by Amtrak anytime and then the signature process will move forward. The lease agreement is also necessary before the locomotives can be placed into revenue service.

As reported on 6-6-17, the Mid-West States met during the week of 6-7-17 in Minnesota to work on the FRA's Regional Plan for intercity passenger rail – the meeting went well and was productive.

Agenda item 5

Procurement Updates: (Ongoing)

On 1-17-17, the Chair tabled Bi-Level procurement updates until new information is available and appropriate to share.

Diesel-Electric Locomotive Procurement update as of 6-20-17 – **agenda item 6.**

Finance and Administrative Subcommittee and New Grant Activities: (ongoing)

The FASC is reviewing and updating the NGENC contract procedures and will consider the updates on its next call – 6-28-17. Following that call, the procedures as updated will be presented to the NGENC Executive Board.

Today, 6-6-17, the FASC submitted the following motion for Board consideration:

"As was intended in past NGENC budget approvals and to ensure compliance with the Audit of Grant Agreement of March 27, 2013, and the NGENC's updated Procedure of Establishing Contract Support adopted August 6, 2013, the NGENC authorizes Amtrak to contract with AASHTO for administrative support services as well as subsequent sub-contracts per the statement of work for entities such as; with Hewitt Consulting for Manager of Support Services, and with SalciConsult for Specification Consultant services. In addition, with the Federal Railroad Administration's June 8th, 2015, approval of the NGENC's Supplemental Statement of Work and related budget extension through September 30, 2017, and its subsequent approval of the Statement of Work and Budget included in the Grant Agreement executed on October 26, 2016 for the period beginning October 1, 2016 through September 30, 2019, Amtrak is authorized to extend its agreement with AASHTO and AASHTO's sub-consultants in accordance with the Statement of Work."

The motion was approved by the Executive Board with a quorum having been established and all votes cast in the affirmative.

Amtrak and AASHTO will now work to finalize their contract in accordance with the motion, and AASHTO will do the same with the sub-consultant contracts.

Ongoing status updates will be provided to the Executive Board until the contracts have been finalized and executed.

Next Finance and Administrative subcommittee update – 7-18-17

Agenda item 7a. and b.

Technical subcommittee update: Next update 8-1-17.

514 subcommittee update: Agenda item 9

Formation of the Acquisition and Ownership Best Practices Task Force – The task force has been named, with Eric Curtit serving as Chair. Members include: Tim Hoeffner, Michigan DOT and Mike Jenkins, Oregon DOT as well as representatives (yet to be named) from Illinois DOT, Washington State DOT and Amtrak and FRA. Larry Salci will also participate and Paul Jamieson, SNC-Lavalin has volunteered as well.

Members have been identified.

Eric Curtit reported on 5-23-17 that he and Steve Hewitt were working on a brief that will encapsulate what it is the working group should accomplish and will send it out for member feedback. Following that input, it will be sent to NGENC Executive Board members for review and comment. (ongoing)

This remains an ongoing item

Executive Board approval of Diesel-Electric Locomotive Specification Revision B:

On 6-20-17, the NGENC Executive Board approved PRIIA 305-005 Diesel-Electric Locomotive Specification Revision B.

TASK COMPLETE

4. Approval of the Minutes from the 6-20-17 Conference call meetings – Eric Curtit:

On a motion by Brian Beeler II, NNEPRA for Maine DOT, and a second by Darrell Smith, Amtrak, the minutes from the 6-20-17 Executive Board conference call were approved as presented.

5. Progress Report: Mid-West States – Section 6:

Eric Curtit reported that the four Mid-West states and Amtrak have executed their lease agreements. Revenue service was anticipated to occur yesterday, but a few "legalese" type issues need to be finalized. It is anticipated that entry into service should happen sometime this week.

Larry Salci commented on some corrections needed for Wi-Tronix and asked if those corrections have been made. Mario Bergeron responded that it is still an open issue and an evolving one as well. He noted that they are not fully there yet as far as the ability to use it yet. Lots of activity taking place among the various parties/vendors etc.

6. Status Update: Diesel-Electric Locomotive Procurement – Arun Rao, Wisconsin DOT for John Oimoen, IDOT:

John Oimoen provided the following update for inclusion in today's minutes – they were read into the record by Arun Rao:

- a) All Caltrans locomotives have been delivered to Oakland. They have completed all testing, and are in revenue service.*
- b) IDOT and Siemens have signed final acceptance on IDOT units: 4603- 4611. Units 4612, 4613 have been signed for conditional acceptance, final is in progress.*
- c) IDOT Locomotives 4604, 4611, 4612 & 4613 are in Chicago and the pre-revenue testing and track geometry testing has been completed on the applicable Midwest corridors. The 110 MPH 238.111a testing on the Michigan and Chicago to St. Louis corridors will be run later due to IETMS/PTC implementation and ITCS software development. 4612 & 4613 are ready for revenue service. 4604 & 4611 will be ready after the 92-day maintenance is performed.*
- d) IDOT, representing the Midwest States, and Amtrak have come to agreement on the lease and the lease agreement has been signed. IDOT plans to enter into revenue service (Chicago-Milwaukee) sometime this week (week of 7-17-17) with locomotive 4612.*
- e) IDOT locomotives 4614 & 4615 have completed burn-in testing at TTCI and will shipped to Chicago on 7/13/2017. Locomotives 4616 & 4617 completing burn-in tests 7/14 and locomotives 4618 & 4619 will begin burn-in tests next.*
- f) All WSDOT Locomotives have been delivered and have competed pre-revenue testing and are being readied for revenue service.*
- g) The WSDOT 213.345 test report has been submitted by Amtrak to the FRA. FRA had no exceptions.*
- h) The IDOT 238.111b test reports were submitted to the FRA this week, and receipt was acknowledged.*
- i) WSDOT indicates that they are close on their lease agreement, as well.*
- j) Siemens warranty service locations are now established at all JPE locations.*
- k) The first Failure Review Board meeting is taking place next week in Chicago. Each JPE will have a representative on the board.*

Arun also added that the first Fleet Ownership Board meeting is scheduled for next week.

7. Finance and Administrative Subcommittee Progress Report - Darrell Smith:

- a. Review of the NGEC Contract Procedures as updated by the FASC

Darrell Smith provided an over view of the updated/revise Contract Procedures document. He noted that it was first developed three years ago, and the FASC determined it was time to look at the procedures again and refresh them to be current with the NGEC as it is structured today. Steve Hewitt and Jeff Gordon, FRA, reviewed the document extensively and made all the updates. Subsequently, the FASC reviewed and approved it as revised for submittal to the executive Board. The procedures themselves have not changed, but some of the tables reflective of the NGEC organizational structure were changed to be consistent and current.

Mario Bergeron asked that George Hull be included in the org chart and as the Technical subcommittee chair replacing Dale Engelhardt who has retired. Steve Hewitt will see that the change is made.

Darrell then walked through the flow chart within the document to explain the process to Board members who were not on the NGECC when the initial procedures were developed.

Darrell also noted that the NGECC is running positive on the grant, and if a subcommittee needs some support there is some room within the budget. Contact Darrell to discuss if you have a need for specific support.

- b. Status: Amtrak/AASHTO Contract extensions – AASHTO/consultant extensions

Amtrak procurements continues to work through getting appropriate language in the contract extension between Amtrak and AASHTO. "We are good to go – it's all just in the paper work dance" ...once complete – Amtrak and AASHTO will finalize the extension and AASHTO will subsequently finalize extensions with Steve Hewitt and other sub-contractors, per the Grant Agreement Budget and Statement of Work.

8.

Consideration of Approval – Revised/Updated NGECC Contract Procedures - Eric Curtit:

With no questions forthcoming on the revised Contract Procedures document, Eric Curtit called for a motion to approve.

A motion to approve was offered by Darrell Smith, Amtrak and was seconded by Mike Jenkins, Oregon DOT.

With no discussion forthcoming, Chairman Curtit asked Steve Hewitt to conduct a roll call vote.

Eric Curtit, Missouri DOT – aye
Eric Curtit as proxy for Ray Hessinger, NYSDOT – aye
Mario Bergeron, Amtrak – aye
Darrell Smith, Amtrak – aye
Nathan Vomocil for Michael Lestingi, FRA – aye
Amanda Martin, Iowa DOT – aye
Arun Rao, Wisconsin DOT – aye
Arun Rao as proxy for John Oimoen, IDOT – aye
Brent Thompson for Ron Pate, WSDOT – aye
Michael Jenkins, Oregon DOT -aye
Brian Beeler II, NNEPRA for Maine DOT – aye

With a quorum having been confirmed and all votes cast in the affirmative, Chairman Curtit determined that consensus has been achieved and the motion is approved. Steve Hewitt will make the correction to add George Hull to replace Dale Engelhardt in the org chart, and provide AASHTO and all Board members with the final document as approved. AASHTO will add it to the website – replacing the original version.

9.

514 subcommittee Progress Report – Brian Beeler II:

Brian Beeler II provided an update on the activities of the 514 subcommittee:

A lot of work has been done on the CIP major update. In June, the subcommittee dug deep into costing for equipment overhauls. While some numbers went up, there were specific reasons for the increase. In many cases some fleet types went down and "line by line it's a good story". States are now going through their specific costs to determine if they jive with the CIP.

Thus far the subcommittee has completed much of the work on the CIP update including; updating units used and costs anticipated for the 5-years going out.

Brian expressed his appreciation for the hard work by Amtrak – Darrell Smith and Mario Bergeron – in bringing forth the information needed to update the CIP.

Brian believes the subcommittee is close to getting the CIP update done. Asked if he agreed, Mario responded "yes, we are getting close – and I know that because Darrell has asked for a cover picture" – and that is usually a sign that the document is nearing completion.

Brian added that the subcommittee met in Detroit as a part of the SAIPRC meeting held there in June.

SAIPRC has asked 514 to help provide information and a knowledge base on equipment needs and to work on a 3rd

party consultant SOW to look at the past, present and future of equipment. This will be another document that will look specifically at equipment and could help in educating on future funding for capital equipment. A small group met to discuss this and the effort is preliminary at this point. More will be discussed with the full subcommittee on its next call which takes place later today – 7-18-17.

**10.
Adjourn:**

With no other business forthcoming for today, Chairman Curtit adjourned the call at 11:59AM Eastern.

The next conference call is August 1, 2017

Decisions/Action Items

Procurement Updates: (Ongoing)

On 1-17-17, the Chair tabled Bi-Level procurement updates until new information is available and appropriate to share.

Diesel-Electric Locomotive Procurement update – see agenda item 6 for update.

Mid-West States – Section 6 progress report:

Progress continues to be made – as the four Mid-West States and Amtrak have executed their lease agreements.

The first Fleet Ownership Board meeting will take place next week. (week of 7-24-17)

Finance and Administrative Subcommittee and New Grant Activities: (ongoing)

Amtrak and AASHTO are working towards finalizing their contract in accordance with the Grant Agreement Budget and SOW. Amtrak procurements is working through the language for the extension. Once this is done between Amtrak and AASHTO then AASHTO will do the same with the sub-consultant contracts. A

Ongoing status updates will be provided to the Executive Board until the contracts have been finalized and executed.

The FASC approved updates to the NGEC Contract Procedures on 7-13-17; and on 7-18-17, the NGEC Executive Board approved the document as revised. It will now be processed in final form and sent to all Board members, and to AASHTO for posting to the website.

Next Finance and Administrative subcommittee update – 8-15-17

514 Subcommittee Progress Report – see agenda item 9.

Standing Subcommittee monthly update schedule:

Technical subcommittee – next update – 8-1-17
514 subcommittee – next update – 8-15-17
Finance and Administrative subcommittee – 8-15-17

Formation of the Acquisition and Ownership Best Practices Task Force – The task force has been named, with Eric Curtit serving as Chair. Members include: Tim Hoeffner, Michigan DOT and Mike Jenkins, Oregon DOT as well as representatives (yet to be named) from Illinois DOT, Washington State DOT and Amtrak and FRA. Larry Salci will also participate and Paul Jamieson, SNC-Lavalin has volunteered as well.

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ATTACHMENTS

**Next Generation
Equipment Committee**



The NGEC will provide national leadership in standardization, acquisition, financing and management of passenger rail equipment.

**S305 NGEC Executive Board Conference Call
July 18, 2017
11:30 AM – 12:30 PM Eastern
Call in number: 888 585-9008 conference access: 559-120-127**

Agenda

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| 1. Welcome and Open the Meeting | Eric Curtit |
| 2. Roll Call | Steve Hewitt |
| 3. Action Items Status Review | Steve Hewitt |
| 4. Approval of Minutes from the 6-20-17 conference call meetings | Eric Curtit |
| 5. Progress Report: Mid-West States – Section 6 | Tim Hoeffner |
| 6. Status Update: Diesel-Electric Locomotive Procurement | Arun Rao |
| 7. Finance and Administrative Subcommittee Progress Report | Darrell Smith |
| c. Review of the NGEC Contract Procedures as updated by the FASC | |
| d. Status: Amtrak/AASHTO Contract extensions – AASHTO/consultant extensions | |
| 8. Consideration of Approval – Revised/Updated NGEC Contract Procedures | Eric Curtit |
| 9. 514 subcommittee Progress Report | Brian Beeler II |
| 10. Other | All |

Next Meeting -August 1, 2017
